

Doubly-refined enumeration of Alternating Sign Matrices and determinants of 2-staircase Schur functions

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ABSTRACT. We prove a determinantal identity concerning Schur functions for 2-staircase diagrams $\lambda = (\ell n + \ell', \ell n, \ell(n-1) + \ell', \ell(n-1), \dots, \ell + \ell', \ell, \ell', 0)$. When $\ell = 1$ and $\ell' = 0$ these functions are related to the partition function of the 6-vertex model at the combinatorial point and hence to enumerations of Alternating Sign Matrices. A consequence of our result is an identity concerning the doubly-refined enumerations of Alternating Sign Matrices.

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1. Introduction

1.1. Alternating Sign Matrices. An *alternating sign matrix* (ASM) is a square matrix with entries in $\{-1, 0, +1\}$, such that on each line and on each column, if one forgets the 0's, the +1's and -1's alternate, and the sum of each line and each column is equal to 1. It is a famous combinatorial result that the number of such matrices of size n is

$$(1.1) \quad A_n = \prod_{j=0}^{n-1} \frac{(3j+1)!}{(n+j)!} = 1, 2, 7, 42, 429, \dots$$

After having been a conjecture for several years [12], this was first proven by Zeilberger in [17], and a simpler proof was given by Kuperberg [9], using a connection with the 6-Vertex Model of statistical mechanics, and an appropriate multivariate extension of the mere counting function A_n . A vivid account can be found in [1].

It follows easily from the definition that an alternating sign matrix has exactly one +1 on its first (and last) row (and column). Thus we have a sensible four-variable refined statistics, for these four positions in $\{1, \dots, n\}^4$, together with their projections on a smaller number of variables. The dihedral symmetry of the square leaves with a single one-variable statistics (showing a round formula), and with two doubly-refined statistics: one, \mathcal{A}_{ij}^n , for the first and last row (or the rotated case), and one, \mathcal{B}_{ij}^n , for the first row and column (or the three rotated cases), see fig. 1, left. Matrices \mathcal{A}^n for $n = 1, 2, 3, 4, 5$ are given by

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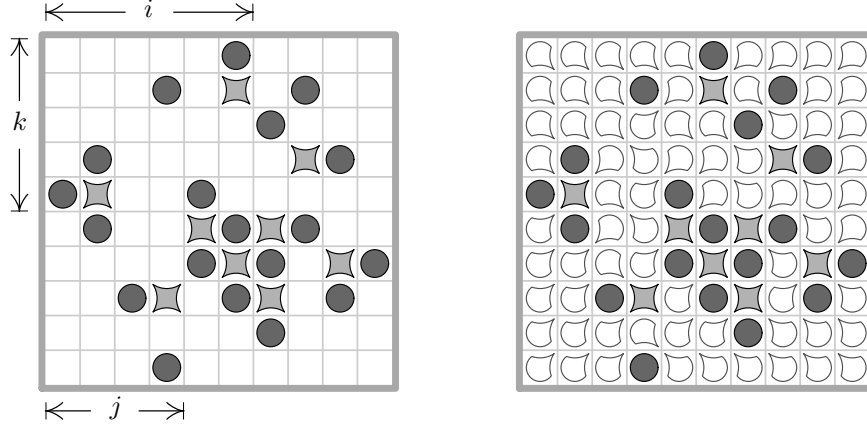


FIGURE 1. Left: a typical alternating sign matrix of size $n = 10$ (empty cells, disks and diamonds stand respectively for 0, +1 and -1 entries). This matrix contributes to the statistics \mathcal{A}_{ij}^n and \mathcal{B}_{ik}^n , with $(i, j, k) = (6, 4, 5)$. Right: empty cells are replaced by scale-shaped tiles, as to produce a valid tiling (i.e., concavities of neighbouring arcs do match). The direction of the tip specifies if the cell is of type NW, NE, SE or SW.

$$\begin{aligned} \mathcal{A}^1 &= (1); & \mathcal{A}^2 &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; & \mathcal{A}^3 &= \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 0 \end{pmatrix}; \\ \mathcal{A}^4 &= \begin{pmatrix} 0 & 2 & 3 & 2 \\ 2 & 4 & 5 & 3 \\ 3 & 5 & 4 & 2 \\ 2 & 3 & 2 & 0 \end{pmatrix}; & \mathcal{A}^5 &= \begin{pmatrix} 0 & 7 & 14 & 14 & 7 \\ 7 & 21 & 33 & 30 & 14 \\ 14 & 33 & 41 & 33 & 14 \\ 14 & 30 & 33 & 21 & 7 \\ 7 & 14 & 14 & 7 & 0 \end{pmatrix}. \end{aligned}$$

Of course, by definition $\sum_{i,j} \mathcal{A}_{ij}^n = A_n$, i.e. $1, 2, 7, 42, 429, \dots$ for the cases above. A simple recursion implies that the sum along the first (and last) row (and column) gives A_{n-1} , i.e. $1, 1, 2, 7, 42, \dots$, and that the bottom-left and top-right entries are A_{n-2} , i.e. $1, 1, 1, 2, 7, \dots$. These simple identities are *linear*. There exists also *quadratic* relations, of Plücker nature, relating these doubly-refined enumerations to A_n and the (singly-)refined enumerations (see e.g. [16, 2]).

Evaluate now the *determinant* of these matrices:

$$\begin{aligned} \det(\mathcal{A}^2) &= -1 = -1^{-1}, & \det(\mathcal{A}^3) &= 1 = 2^0, \\ \det(\mathcal{A}^4) &= -7 = -7^1, & \det(\mathcal{A}^5) &= 1764 = 42^2, \quad \dots \end{aligned}$$

This small numerics suggests a relation that we prove in this paper:

Theorem 1.

$$(1.2) \quad \det(\mathcal{A}^n) = (-A_{n-1})^{n-3}.$$

This relation is *non-linear*. Its degree is not fixed, nor bounded. What is fixed is what we could call “co-degree”, namely the system size, minus the degree (in

analogy to the definition of co-dimension of a subspace). Relations of this different nature seem to be a novelty for the subject at hand.

Our proof of the theorem above will result as corollary of a much more general result on certain Schur functions. To see why these two topics are connected, we have to revert to Kuperberg solution of the Alternating Sign Matrix conjecture.

1.2. ASM, the 6-Vertex Model and Schur functions. It follows from the connection with the 6-Vertex Model, that the generating function for a certain weighted enumeration of alternating sign matrices is given by a closed determinantal formula. For $B = \{B_{ij}\}_{1 \leq i, j \leq n}$ an ASM, if $B_{ij} = 0$, say that (i, j) is a *north-west* (NW) site (resp. NE, SE, SW) if, forgetting the zeroes, the next +1 element along the same column is in the north direction, and along the same row is in the west direction (and analogously for the other three cases) – see the right part of fig. 1. Consider some complex-valued function $\mu_n(B)$ over $n \times n$ ASMs, and call

$$(1.3) \quad Z_n = \sum_B \mu_n(B)$$

the corresponding generating function (in statistical mechanics $\mu(B)$ is a generalized *Gibbs measure* – an ordinary measure if it is real-positive and normalized – and Z is the *partition function*).

When $\mu_n(B)$ has the following factorized form, parametrized by $2n+1$ variables $(x_1, \dots, x_n, y_1, \dots, y_n, q) = (\vec{x}, \vec{y}, q)$,

$$(1.4a) \quad \mu_n(B; \vec{x}, \vec{y}, q) = \prod_{1 \leq i, j \leq n} w_{i,j}(B);$$

$$(1.4b) \quad w_{i,j}(B) = \begin{cases} (q - q^{-1})\sqrt{x_i y_j} & B_{ij} = \pm 1; \\ q^{-1}x_i - qy_j & B_{ij} = 0, \quad (i, j) \text{ is NW or SE}; \\ -x_i + y_j & B_{ij} = 0, \quad (i, j) \text{ is NE or SW}; \end{cases}$$

integrability methods, and a recursion due to Korepin [7], allowed Izergin [6] to establish a determinantal expression for the generating function $Z_n(\vec{x}, \vec{y}, q) = \sum_B \mu_n(B; \vec{x}, \vec{y}, q)$. In particular, this function is symmetric under $\mathfrak{S}_n \times \mathfrak{S}_n$ acting on row- and column-parameters x_i and y_j .

The evaluation of A_n is recovered if we set $q = \exp(\frac{2\pi i}{3})$, $x_i = q^{-1}$ for all i and $y_j = q$ for all j , as in this case the local weights $w_{i,j}$ become all equal to $i\sqrt{3}$, regardless from B , and thus $\mu(B)$ becomes constant (i.e., the *uniform measure*, up to an overall factor).

Later on it has been recognized [16, 14] that the value $q = \exp(\frac{2\pi i}{3})$ (sometimes called the *combinatorial point*) has a special combinatorial property: $Z_n(\vec{x}, \vec{y}, q)$ becomes fully symmetric under \mathfrak{S}_{2n} (acting on the $2n$ -uple of qx_i 's and $q^{-1}y_j$'s together), more precisely it is proportional to the Schur function associated to the Young diagram $\lambda_n = (n-1, n-1, n-2, n-2, \dots, 1, 1, 0, 0)$, evaluated on variables $\{qx_1, \dots, qx_n, q^{-1}y_1, \dots, q^{-1}y_n\}$ (see figure 2, left, for a picture of this Young diagram). One consequence is that we have

$$(1.5) \quad A_n = 3^{-\binom{n}{2}} s_{\lambda_n}(1, 1, \dots, 1),$$

and also the refined enumerations introduced above are related to specializations of this Schur function, in which some parameters are left as indeterminates.

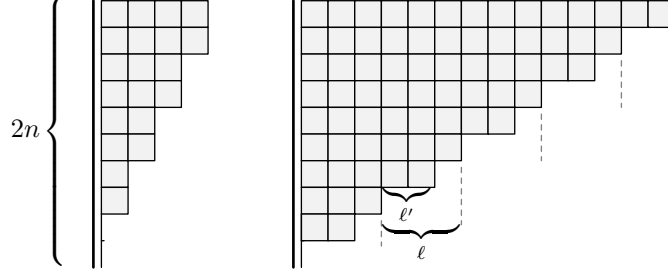


FIGURE 2. Left: the Young diagram λ_n , for $n = 5$. Right: the Young diagram $\lambda_{n,\ell,\ell'}$, for $n = 5$, $\ell = 3$ and $\ell' = 2$.

In particular for the \mathcal{A}_{ij}^n 's, defining the generating function

$$(1.6) \quad \mathcal{A}_n(u, v) = \sum_{1 \leq i, j \leq n} \mathcal{A}_{ij}^n u^{i-1} v^{n-j};$$

one finds

$$(1.7) \quad \mathcal{A}_n(u, v) = 3^{-\binom{n}{2}} (q^2(q+u)(q+v))^{n-1} s_{\lambda_n} \left(\frac{1+qu}{q+u}, \frac{1+qv}{q+v}, 1, \dots, 1 \right);$$

(the rational function $\frac{1+qu}{q+u}$ originates from the ratio of $w_{ij}(B)$ in the two last cases of (1.4b)).

A detailed analysis of the double-enumeration formula (1.7) restated in terms of multiple contour integrals, and the proof of a relation with a double-enumeration formula for totally-symmetric self-complementary plane partitions in a hexagonal box of size $2n$, can be found in [5].

1.3. On the determinants of Schur functions. In this section we state a theorem concerning the determinant of a matrix whose elements are Schur functions s_{λ_n} . Not surprisingly, as these functions are related to ASM enumerations e.g. through equations (1.5) and (1.7), this property will show up to be the structure behind Theorem 1, and conceivably, it has an interest by itself. For this reason, in this paper we pursue the task of stating and proving a much wider version of the forementioned property, than the one that would suffice for Theorem 1. This leads us to introduce a wider family of Young diagrams.

We define the *2-staircase diagram* $\lambda_{n,\ell,\ell'}$, for $n \geq 1$, $0 \leq \ell' \leq \ell$, as

$$(1.8) \quad \lambda_{n,\ell,\ell'} = ((n-1)\ell + \ell', (n-1)\ell, (n-2)\ell + \ell', (n-2)\ell, \dots, \ell', 0)$$

i.e. $(\lambda_{n,\ell,\ell'})_{2j-1} = (n-j)\ell + \ell'$ and $(\lambda_{n,\ell,\ell'})_{2j} = (n-j)\ell$ (see figure 2, right). We call the associated Schur polynomial, $s_{\lambda_{n,\ell,\ell'}}$, a *2-staircase Schur function*.

The name comes from the fact that this family of diagrams generalizes the well-known family of *staircase diagrams* $\mu_{n,\ell}$

$$(1.9) \quad \mu_{n,\ell} = ((n-1)\ell, (n-2)\ell, \dots, \ell, 0).$$

The Schur functions s_{λ_n} are thus particular cases of 2-staircase Schur functions, corresponding to $\ell = 1$ and $\ell' = 0$.

The polynomials $s_{\lambda_{n,\ell,\ell'}}$ have been considered recently by Alain Lascoux. In particular, in [11, Lemma 13] they are shown to coincide with the specialization at $q = \exp(\frac{2\pi i}{\ell+2})$ of a certain natural extension of *Gaudin functions*.

In an apparently unrelated context we see the appearance of the polynomials $s_{\lambda_{n,\ell,\ell'}}$, for $\ell' = 0$ only. This context, analysed by Paul Zinn-Justin in [18], is the study of the solution of the q KZ equation related to the spin $\ell/2$ representation of the quantum affine algebra $U_q(\widehat{\mathfrak{sl}(2)})$ with $q = \exp(\frac{2\pi i}{\ell+2})$. It is shown that, by taking the scalar product of the solution of the q KZ equation with a natural reference state, one obtains $s_{\lambda_{n,\ell,0}}$.

As anticipated, our Theorem 1 will be a corollary of the following result, of independent interest, which exhibits a remarkable factorization of a determinant of 2-staircase Schur functions:

Theorem 2. *Let $N = \ell(n-1) + \ell' + 1$. Let $\{x_i, y_i\}_{1 \leq i \leq N}$ be indeterminates, let $f(\vec{z}, w_1, w_2)$ stand for $f(z_1, \dots, z_{2n-2}, w_1, w_2)$, and, for an ordered N -uple $\vec{x} = (x_1, x_2, \dots, x_N)$, let $\Delta(\vec{x}) = \prod_{i < j} (x_i - x_j)$ denote the usual Vandermonde determinant. Then*

$$(1.10) \quad \det \left(s_{\lambda_{n,\ell,\ell'}}(\vec{z}, x_i, y_j) \right)_{1 \leq i, j \leq N} = c(n, \ell, \ell') \Delta(\vec{x}) \Delta(\vec{y}) \left(\prod_{i=1}^{2n-2} z_i^{\ell'(\ell+1)} \right) s_{\mu_{2n-2,\ell+1}}^\ell(\vec{z}) s_{\lambda_{n-1,\ell,\ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}).$$

The quantity $c(n, \ell, \ell')$ is valued in $\{0, \pm 1\}$. More precisely,

$$(1.11) \quad c(n, \ell, \ell') = \begin{cases} (-1)^{(n-1)\binom{\ell+1}{2} + \binom{\ell'+1}{2}} & n = 1 \quad \text{or} \quad \gcd(\ell+2, \ell'+1) = 1 \\ 0 & n > 1 \quad \text{and} \quad \gcd(\ell+2, \ell'+1) \neq 1 \end{cases}$$

Remark that, as well known, the staircase Schur function $s_{\mu_{2n-2,\ell+1}}$ can be further factorized. Let us recall the definition of the (bivariate homogeneous) Chebyshev polynomials (of the second kind)

$$(1.12) \quad U_h(x, y) = \frac{x^{h+1} - y^{h+1}}{x - y} = x^h + x^{h-1}y + \dots + y^h.$$

One can write (cf. equation (A.7))

$$(1.13) \quad s_{\mu_{N,h}}(\vec{z}) = \prod_{1 \leq i < j \leq N} U_h(z_i, z_j).$$

As Schur functions have several determinant representations (see Appendix A), the left-hand-side quantity of the theorem is a “determinant of determinants”, a structure in linear algebra that is sometimes called a *compound determinant* [13, ch. VI]. As we will see, the theory of compound determinants will have a crucial role in our proof.

Results in the form of Theorem 2, or at least approaches to quantities as in the left-hand side of equation (1.10), already exist in the literature, although mostly with partitions of comparatively simpler structure. Cf. [11], where also a general approach is outlined. In particular, equations (23) and (24) of [11] have a form of striking similarity with our theorem above, while involving respectively a rectangular partition $r^p \equiv (r, r, \dots, r)$ (p times), and the basic 1-staircase partition $(r, r-1, r-2, \dots, 1, 0)$, and the unnumbered third equation after Corollary 9 of [11] (for which, however, no factorization is stated) has a similar structure to what will be the matrix of our analysis, with the only difference that it presents a Chebyshev polynomial at the denominator instead that the numerator.

Theorem 2 is easily seen to hold at $n = 1$ and any (ℓ, ℓ') . This could seem a good base for an induction. However we use inductive arguments only for the minor task of determining the overall constant $c(n, \ell, \ell')$, in section 4.2. Conversely, in section 4.1 we prove divisibility results, by a method reminiscent of the “exhaustion of factors” described in Krattenthaler’s survey [8].

Note however that the factors $s_{\lambda_{n-1, \ell, \ell'}}$ are polynomials of ‘large’ degree, $\ell n(n-1) + \ell' n$, with no factorizations as long as $\gcd(\ell+2, \ell'+1) = 1$ (we give a partial proof of this statement in Proposition 5 below – a full proof is not hard to achieve). Thus, in a sense, the tools we develop in section 3 should be regarded as an extension of the exhaustion of factor method to the case in which we have an infinite family of determinantal identities, and some of the factors have an *unbounded* degree, scaling with the size parameter associated to the family.

Finally, let us add a few words on notations: along the paper, if \vec{z} is a vector of length n (the length will be clear by the context), we write $f(\vec{z})$ as a shortcut for $f(z_1, \dots, z_n)$, and $f(\vec{z}, w_1, w_2, \dots)$ as a shortcut for $f(z_1, \dots, z_n, w_1, w_2, \dots)$. We also write and $f(\vec{z}_{\setminus i_1 \dots i_k}, w_1, w_2, \dots)$ if the variables z_{i_1}, \dots, z_{i_k} are dropped from the list (z_1, \dots, z_n) .

The paper is organized as follows. In section 2 we show how to derive Theorem 1 from Theorem 2 specialized to $\ell = 1$ and $\ell' = 0$. In section 3 we present some preparatory lemmas to the proof of Theorem 2, which is presented in section 4. Appendix A collects some basic definitions and facts on Schur functions, while in appendix B we introduce an even larger class of staircase Schur functions, and study some of their properties.

2. Derivation of Theorem 1 from Theorem 2

For a polynomial $f(x, y)$, denote by $f(x, y)|_{[x^i y^j]}$ the coefficient of the monomial $x^i y^j$. We first state a simple but useful lemma.

Lemma 1. *Let $P(u, v)$ be a polynomial in two indeterminates, of degree at most $n-1$ in each variable. Call $P = (P(u, v)|_{[u^{i-1} v^{j-1}]})_{1 \leq i, j \leq n}$. Let u_i, v_j be indeterminates, then*

$$(2.1) \quad \det (P(u_i, v_j))_{1 \leq i, j \leq n} = \Delta(\vec{u}) \Delta(\vec{v}) \det P.$$

PROOF. Call $V(\vec{u})$ the Vandermonde matrix $V_{ij} = u_i^{j-1}$. Then $\det V(\vec{u}) = \Delta(\vec{u})$, and the matrix $(P(u_i, v_j))_{1 \leq i, j \leq n}$ is the product $V(\vec{u})^T P V(\vec{v})$. \square

This lemma allows us to state that our Theorem 2 is equivalent to

$$(2.2) \quad \det \left(s_{\lambda_{n, \ell, \ell'}}(\vec{z}, x, y)|_{[x^i y^j]} \right)_{0 \leq i, j \leq \ell(n-1) + \ell'} = \\ = c(n, \ell, \ell') \left(\prod_{i=1}^{2n-2} z_i^{\ell'(\ell+1)} \right) s_{\mu_{2n-2, \ell+1}}^\ell(\vec{z}) s_{\lambda_{n-1, \ell, \ell'}}^{\ell(n-2) + \ell' - 1}(\vec{z}),$$

(of course, with $c(n, \ell, \ell')$ as in (1.11)).

Now we proceed to the proof of Theorem 1. One can compute, with $\vec{u} = (u_1, \dots, u_n)$,

$$(2.3) \quad \Delta(\{ \frac{1+qu_i}{q+u_i} \}) = \Delta(\vec{u}) (q^2 - 1)^{\binom{n}{2}} \prod_i (q + u_i)^{-(n-1)}.$$

It follows from Lemma 1, and equation (1.7), that

$$\begin{aligned}
 (2.4) \quad & \Delta(\vec{u})\Delta(\vec{v})\det(\mathcal{A}_{ij}^n) = \\
 & = (-1)^{\binom{n}{2}} \det \left(\frac{(q^2(q+u_i)(q+v_j))^{n-1}}{3^{\binom{n}{2}}} s_{\lambda_n} \left(\frac{1+qu_i}{q+u_i}, \frac{1+qv_j}{q+v_j}, 1, \dots, 1 \right) \right)_{1 \leq i, j \leq n} \\
 & = \left(\frac{-q^4}{3^n} \right)^{\binom{n}{2}} \prod_{i=1}^n ((q+u_i)(q+v_i))^{n-1} \det \left(s_{\lambda_n} \left(\frac{1+qu_i}{q+u_i}, \frac{1+qv_j}{q+v_j}, 1, \dots, 1 \right) \right)_{1 \leq i, j \leq n}.
 \end{aligned}$$

Using Theorem 2 with $\ell = 1$ and $\ell' = 0$ on the determinant on the right-hand side (with $x_i = \frac{1+qu_i}{q+u_i}$ and $y_j = \frac{1+qv_j}{q+v_j}$), and then (2.3), we obtain

$$\begin{aligned}
 (2.5) \quad & \Delta(\vec{u})\Delta(\vec{v})\det(\mathcal{A}_{ij}^n) = \Delta(\vec{u})\Delta(\vec{v}) (-1)^{n-1+\binom{n}{2}} \left(\frac{(q-q^2)^2}{3^n} \right)^{\binom{n}{2}} \\
 & \quad \times s_{\mu_{2n-2,2}}(1, 1, \dots, 1) s_{\lambda_{n-1}}^{n-3}(1, 1, \dots, 1)
 \end{aligned}$$

Recognize that $(q-q^2)^2 = -3$. By the explicit evaluation of a staircase Schur function, equation (1.13), we have

$$(2.6) \quad s_{\mu_{2n-2,1}}(1, 1, \dots, 1) = 3^{\binom{2n-2}{2}}$$

Theorem 1 follows from (1.5), (2.5), (2.6). \square

3. Preliminary results

3.1. On the minor expansion of a sum of matrices. Consider k $n \times n$ matrices of indeterminates $M_{ij}^{(a)}$, $1 \leq i, j \leq n$; $1 \leq a \leq k$. For $I, J \subseteq [n]$, denote by $M_{I,J}$ the restriction of M to rows in I and columns in J . Denote by $\mathcal{I} = (I_1, \dots, I_k)$ an ordered k -uple of subsets $I_a \subseteq [n]$ (possibly empty), forming a partition of $[n]$. For two such k -uples \mathcal{I} and \mathcal{J} , say that they are *compatible* if $|I_a| = |J_a|$ for all $a = 1, \dots, k$, and write $\mathcal{I} \sim \mathcal{J}$ in this case. Denote by $\epsilon(\mathcal{I}, \mathcal{J})$ the signature of the permutation that reorders (I_1, \dots, I_k) into (J_1, \dots, J_k) , with elements within the blocks in order. Then we have

Proposition 1 (Minor expansion of a sum of matrices).

$$(3.1) \quad \det \left(\sum_{a=1}^k M^{(a)} \right) = \sum_{\substack{\mathcal{I}, \mathcal{J} \\ \mathcal{I} \sim \mathcal{J}}} \epsilon(\mathcal{I}, \mathcal{J}) \prod_{a=1}^k \det M_{I_a, J_a}^{(a)}.$$

PROOF. Consider the full expansion of the determinant

$$\begin{aligned}
 (3.2) \quad \det \left(\sum_{a=1}^k M^{(a)} \right) &= \sum_{\sigma \in \mathfrak{S}_n} \epsilon(\sigma) \prod_{i=1}^n \left(\sum_{a=1}^k M_{i, \sigma(i)}^{(a)} \right) \\
 &= \sum_{\sigma \in \mathfrak{S}_n} \sum_{b \in [k]^n} \epsilon(\sigma) \prod_{i=1}^n M_{i, \sigma(i)}^{(b(i))}
 \end{aligned}$$

Associate to each pair (σ, b) in the linear combination above, a pair $(\mathcal{I}, \mathcal{J})$ of compatible partitions, through

$$(3.3) \quad I_a = \{i : b(i) = a\}; \quad J_a = \{j : b(\sigma^{-1}(j)) = a\}.$$

So \mathcal{I} is determined by b alone, and all the permutations σ producing the same \mathcal{J} can be written as the “canonical” permutation τ , that reorders (I_1, \dots, I_k) into (J_1, \dots, J_k) with elements within the blocks in order, acting from the left on a permutation $\rho = \prod_a \rho_a \in \mathfrak{S}_{I_1} \times \dots \times \mathfrak{S}_{I_k}$. The signature factorizes, $\epsilon(\sigma) = \epsilon(\tau) \prod_a \epsilon(\rho_a)$, and $\epsilon(\tau) = \epsilon(\mathcal{I}, \mathcal{J})$ by definition, thus

$$(3.4) \quad \det \left(\sum_{a=1}^k M^{(a)} \right) = \sum_{\substack{\mathcal{I}, \mathcal{J} \\ \mathcal{I} \sim \mathcal{J}}} \epsilon(\mathcal{I}, \mathcal{J}) \prod_a \sum_{\rho_a \in \mathfrak{S}_{I_a}} \epsilon(\rho_a) \prod_{i \in I_a} M_{i \tau \circ \rho_a(i)}^{(a)}$$

For each index a , the sum over the permutations ρ_a produces the appropriate determinant of the minor. \square

3.2. Bazin-Reiss-Picquet Theorem. In this section we recall the Bazin-Reiss-Picquet Theorem [13, pg. 193-195, §202-204].

Take a triplet of integers $m \geq n \geq p \geq 0$. Call $S_{n,p}$ the set of subsets of $[n]$, of cardinality p (thus $|S_{n,p}| = \binom{n}{p}$). For a set $I \in S_{n,p}$, write $I = \{i_1, \dots, i_p\}$ for the ordered list of elements.

Consider the $m \times n$ matrices of indeterminates A and B , and the $m \times (m-n)$ matrix of indeterminates C . Write $(X|Y)$ for the matrix resulting from taking all the columns of X , followed by all the columns of Y .

For a pair $(I, J) \in S_{n,p} \times S_{n,p}$ define $M^{I,J}$ as the matrix

$$(3.5) \quad M_{h,k}^{I,J} = \begin{cases} A_{h,k} & k \leq n, k \notin I; \\ B_{h,j_\ell} & k = i_\ell; \\ C_{h,k-n} & n < k \leq m; \end{cases}$$

(that is, replace the columns I of $(A|C)$ with the columns J of B , in order). Define $D_{I,J} = \det M^{I,J}$. Choose a total ordering of $S_{n,p}$, and construct the matrix $D = (D_{I,J})_{I,J \in S_{n,p}}$, of dimension $\binom{n}{p}$. Then the compound determinant $\det D$ does not depend on the chosen ordering, and has the following factorization property:

Theorem 3 (Bazin-Reiss-Picquet).

$$(3.6) \quad \det D = \det(A|C)^{\binom{n-1}{p}} \det(B|C)^{\binom{n-1}{p-1}}.$$

3.3. A divisibility corollary. A corollary of the Bazin-Reiss-Picquet Theorem is a divisibility result for a special family of determinants. Take $m \geq n \geq k \geq 0$. Consider m indeterminates z_i , n indeterminates y_j , and $2nk$ indeterminates u_i^a, v_i^a , with $1 \leq i \leq n$ and $1 \leq a \leq k$ (u_i^a, v_i^a may possibly be elements in the polynomial ring $R(z, y)$). Take m polynomial functions $f_j(x)$, and introduce the associated *Slater determinant*, that is, the totally-antisymmetric polynomial

$$(3.7) \quad P(\vec{x}) = P(x_1, \dots, x_m) = \det (f_j(x_i))_{1 \leq i, j \leq m}.$$

A typical example could be a shifted Vandermonde, $P(x_1, \dots, x_m) = \Delta_\lambda(x_1, \dots, x_m)$ for λ a partition of length m (see appendix A).

Then we have

Proposition 2. *The polynomial $\det \left(\sum_{a=1}^k u_i^a v_j^a P(\vec{z}_{\setminus i}, y_j) \right)_{1 \leq i, j \leq n}$ is divisible by the polynomial $(P(\vec{z}))^{n-k}$.*

PROOF. Apply the formula for the minor expansion of a sum of matrices, Proposition 1, to get

$$\begin{aligned}
 (3.8) \quad & \det \left(\sum_{a=1}^k u_i^a v_j^a P(\vec{z}_{\setminus i}, y_j) \right)_{1 \leq i, j \leq n} \\
 &= \sum_{\substack{\mathcal{I}, \mathcal{J} \\ \mathcal{I} \sim \mathcal{J}}} \epsilon(\mathcal{I}, \mathcal{J}) \prod_{\substack{1 \leq a \leq k \\ i \in I_a}} u_i^a \prod_{\substack{1 \leq a \leq k \\ j \in J_a}} v_j^a \prod_{a=1}^k \det (P(\vec{z}_{\setminus i}, y_j))_{i \in I_a, j \in J_a}.
 \end{aligned}$$

Now apply the Bazin-Reiss-Picquet Theorem to each of the determinants, with $(m, n, p) \rightarrow (m, |I_a|, 1)$, and get

$$(3.9) \quad \det (P(\vec{z}_{\setminus i}, y_j))_{i \in I_a, j \in J_a} = P(\vec{z})^{|I_a|-1} P(\vec{z}_{\setminus I_a}, \vec{y}_{\setminus (J_a)^c}).$$

Thus we have

$$\begin{aligned}
 (3.10) \quad & \det \left(\sum_{a=1}^k u_i^a v_j^a P(\vec{z}_{\setminus i}, y_j) \right)_{1 \leq i, j \leq n} \\
 &= P(\vec{z})^{n-k} \sum_{\substack{\mathcal{I}, \mathcal{J} \\ \mathcal{I} \sim \mathcal{J}}} \epsilon(\mathcal{I}, \mathcal{J}) \prod_{\substack{1 \leq a \leq k \\ i \in I_a}} u_i^a \prod_{\substack{1 \leq a \leq k \\ j \in J_a}} v_j^a \prod_{a=1}^k P(\vec{z}_{\setminus I_a}, \vec{y}_{\setminus (J_a)^c});
 \end{aligned}$$

and the quantity in the sum on the right-hand side is a polynomial. \square

3.4. Vanishing and recursion properties of 2-staircase Schur functions. Here we gather some relevant facts about the family of 2-staircase Schur functions $s_{\lambda_{n,\ell,\ell'}}(\vec{z})$ introduced in (1.8). In this section we use q as a synonym of $\exp(\frac{2\pi i}{\ell+2})$.

Proposition 3 (*wheel condition*). *For distinct g, h and k in $\{0, \dots, \ell+1\}$, and distinct i, j and m in $\{1, \dots, 2n\}$,*

$$(3.11) \quad s_{\lambda_{n,\ell,\ell'}}(\vec{z}_{\setminus ijm}, q^g w, q^h w, q^k w) = 0.$$

Proposition 4 (*recursion relation*). *For k in $\{1, \dots, \ell+1\}$, and i, j in $\{1, \dots, 2n\}$, distinct,*

$$(3.12) \quad s_{\lambda_{n,\ell,\ell'}}(\vec{z}_{\setminus ij}, w, q^k w) = w^{\ell'} U_{\ell'}(1, q^k) \prod_{\substack{1 \leq m \leq 2n \\ m \neq i, j}} \frac{U_{\ell+1}(z_m, w)}{z_m - q^k w} s_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus ij}).$$

Propositions 3 and 4 are occurrences, already known in the literature (cf. e.g. [18, Thm. 4]), of vanishing conditions (and related recursion properties) within a broad family, for which the name “wheel condition” is often used. There has been a recent interest in the investigation of the structure of the corresponding ideals, in the ring of symmetric polynomials (see e.g. [3, 4]).

We prove the propositions above in Appendix B. More precisely, in the appendix we generalize 2-staircase Schur functions to the m -staircase case, and prove the appropriate generalizations of the propositions above, together with some further properties of potential future interest.

Notice that, if $\gcd(\ell+1, \ell+2) = g > 1$, then there exists some $1 \leq k \leq \ell+1$ such that q^k is a root of $U_{\ell'}(1, x)$ (e.g., $k = (\ell+2)/g$). Then it follows from

equation (3.12) that $s_{\lambda_{n,\ell,\ell'}}$ vanishes if $z_i = q^k z_j$, i.e. it is divisible by $z_i - q^k z_j$. On the contrary, if $\gcd(\ell' + 1, \ell + 2) = 1$, one has the following proposition

Proposition 5. *Suppose $\gcd(\ell' + 1, \ell + 2) = 1$ and $n \geq 2$, then $s_{\lambda_{n,\ell,\ell'}}$ has no factors of the form $(z_i - \eta z_j)$, for any $1 \leq i, j \leq 2n$ and $\eta \in \mathbb{C}$.*

PROOF. We prove the statement by induction on n . The case $n = 2$ is done by direct inspection of $s_{\lambda_{n,\ell,\ell'}}^1$. Now suppose the statement true up to $n - 1$ and assume that there exists $i, j \in \{1, \dots, 2n\}$ and $\eta \in \mathbb{C}$ such that $(z_i - \eta z_j)$ divides $s_{\lambda_{n,\ell,\ell'}}$. Then take k and h distinct indices in $\{1, \dots, 2n\} \setminus \{i, j\}$ (note that we need $n \geq 2$ at this point), and specialize $s_{\lambda_{n,\ell,\ell'}}|_{z_k=qz_h}$. The linear term $z_i - \eta z_j$ must divide also the specialized polynomial, and, using the recursion relation of Proposition 4, it must divide the corresponding right-hand-side expression for (3.12). However, this expression is non-zero for the other variables z_m being generic (because the only potentially dangerous factor, $U_{\ell'}(1, q^k)$, may vanish only if $\gcd(\ell' + 1, \ell + 2) > 1$), and the factors of the form $z_k^{\ell'}$, and $U_{\ell+1}(z_m, z_k)$, for $m \neq k, h$, do not contain $z_i - \eta z_j$ as a factor. Thus $z_i - \eta z_j$ must divide $s_{\lambda_{n-1,\ell,\ell'}}$, this being in contrast with the inductive assumption. \square

4. Proof of Theorem 2

As outlined in the introduction, our strategy for proving Theorem 2 will be as follows: let us call $\psi_{n,\ell,\ell'}(z, x, y)$ the left-hand side of (1.10); first we identify several polynomial factors of $\psi_{n,\ell,\ell'}(z, x, y)$; then we show that these factors are relatively prime and that their product exhausts the degree of $\psi_{n,\ell,\ell'}(z, x, y)$; finally, we determine the overall constant factor. As in the previous subsection, also in this section we set $q = e^{\frac{2\pi i}{\ell+2}}$.

4.1. Polynomial factors of $\psi_{n,\ell,\ell'}(\vec{z}, \vec{x}, \vec{y})$. We start by identifying a polynomial factor of $\psi_{n,\ell,\ell'}(\vec{z}, \vec{x}, \vec{y})$ whose factorization involves only monomials and binomials. By virtue of Lemma 1, we have that $\psi_{n,\ell,\ell'}(\vec{z}, \vec{x}, \vec{y})$ is divisible by $\Delta(\vec{x})$ and $\Delta(\vec{y})$. Since the degree of $\psi_{n,\ell,\ell'}$ in each variable x_i or y_i separately is $(n-1)\ell + \ell'$, which is the same as the degree of $\Delta(\vec{x})\Delta(\vec{y})$, the quotient is a polynomial of degree zero in x_i and y_j (namely, it is the determinant of the matrix of coefficients in x and y of $s_{\lambda_{n,\ell,\ell'}}(\vec{z}, x, y)$). Call $Q_{n,\ell,\ell'}(\vec{z})$ the resulting quotient

$$(4.1) \quad Q_{n,\ell,\ell'}(\vec{z}) = \frac{\psi_{n,\ell,\ell'}(\vec{z}, \vec{x}, \vec{y})}{\Delta(\vec{x})\Delta(\vec{y})}$$

We work out immediately the case of Theorem 2 corresponding to the second case of equation (1.11)

Proposition 6. *If $\gcd(\ell' + 1, \ell + 2) > 1$ and $n \geq 2$, then $Q_{n,\ell,\ell'}(\vec{z}) = 0$*

¹E.g., realize that, for $z_1 - \eta z_2$ to divide the Schur function, it should divide the shifted Vandermonde at numerator, with a higher power w.r.t. the ordinary Vandermonde at denominator. The case $\eta = 1$ is easily ruled out (even if we further specialize $z_3 = z$, $z_4 = 0$, we obtain $s_{\lambda_{2,\ell,\ell'}}(z, z, z, 0) = z^{2(\ell+\ell')}(\ell+2)(\ell'+1)(\ell-\ell'+1)/2$, which is not identically zero as we have $\ell \geq 0$ and $0 \leq \ell' \leq \ell$). For $\eta \neq 1$ we can have no simplifications with the Vandermonde at denominator, and it suffices to analyse the shifted Vandermonde, which gives

$$\Delta_{\lambda_{2,\ell,\ell'}}(z, \eta z, 0, 1) = z^{\ell+\ell'+3}((\eta z)^{\ell+2} - 1)(\eta^{\ell'+1} - 1) - ((\eta z)^{\ell'+1} - 1)(\eta^{\ell+2} - 1).$$

Again, this is not identically zero, as, for the gcd hypothesis, $\eta^{\ell'+1} - 1$ and $\eta^{\ell+2} - 1$ cannot vanish simultaneously.

PROOF. Say $\gcd(\ell' + 1, \ell + 2) = g > 1$. It follows that the polynomials $U_{\ell'}(1, x)$ and $U_{\ell+1}(1, x)$ have a common root q^k , for $k = (\ell + 2)/g$. We can exploit the fact that Q , defined in equation (4.1) as a rational function of the z , x and y 's, is actually independent from the x and y 's. In particular, we can choose $x_1 = q^k z_1$ (and leave $x_2, \dots, x_n, y_1, \dots, y_n$ generic). Consider the matrix $M_{ij} = s_{\lambda_{n,\ell,\ell'}}(\vec{z}, x_i, y_j)$, whose determinant is $\psi_{n,\ell,\ell'}$. By applying the recursion relation of Proposition 4 we see that the row corresponding to x_1 vanishes identically. On the other side, as the remaining x and y variables are generic, the Vandermonde factors are non-zero. As a consequence, $Q_{n,\ell,\ell'}(\vec{z}) = 0$. \square

We proceed to find other factors of $Q_{n,\ell,\ell'}$, for the relevant case of equation (1.11).

Proposition 7. For $n \geq 2$, $s_{\mu_{2n-2,\ell+1}}^\ell(\vec{z}) \left(\prod_{i=1}^{2n-2} z_i^{\ell'(\ell+1)} \right)$ divides $Q_{n,\ell,\ell'}(\vec{z})$.

PROOF. Note that $Q_{n,\ell,\ell'}(\vec{z})$ is symmetric in the z_i 's (as they enter only as simultaneous arguments of Schur functions). So, given the factorized form of s_μ , equation (1.13), it suffices to prove that Q is divided by $z_1^{\ell'(\ell+1)} \prod_{m=2}^{2n-2} U_{\ell+1}^\ell(z_1, z_m)$. Using the independence from \vec{x} and \vec{y} of equation (4.1), we can choose to substitute $x_i = q^i z_1$ for $1 \leq i \leq \ell + 1$, and leave generic the other x_j 's, and all the y_j 's (we have a sufficient number of x 's since $(n-1)\ell + \ell' + 1 \geq \ell + 1$ for $n \geq 2$).

By applying the recursion relation of Proposition 4 to the matrix elements M_{ij} , the first $\ell + 1$ rows of M are simplified. Consider the matrix \widetilde{M} , that coincides with M on rows $i > \ell + 1$, and otherwise is given by

$$(4.2) \quad \widetilde{M}_{ij} = \left(z_1^{\ell'} U_{\ell'}(1, q^i) \prod_{m=2}^{2n-2} \frac{U_{\ell+1}(z_m, z_1)}{z_m - q^i z_1} \right) \left(\frac{U_{\ell+1}(y_j, z_1)}{y_j - x_i} s_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus 1}, y_j) \right)$$

This matrix is a version of M in which we do *not* replace $x_i \rightarrow q^i z_1$ for all the occurrences of x_i in M_{ij} , but only for a subset. That is, we just have the property, for $1 \leq i \leq \ell + 1$,

$$(4.3) \quad M_{ij} = \widetilde{M}_{ij} \Big|_{x_i = q^i z_1},$$

and thus $\det M = (\det \widetilde{M})|_{x_i = q^i z_1}$. We constructed \widetilde{M} instead of M with specific intentions: the two factors in parenthesis in (4.2) are separately polynomials after replacing $x_i = q^i z_1$ (and, before the replacing, they are divided at most by $y_j - x_i$); the factor on the left does not depend on index j (so it can be extracted from the i -th row of \widetilde{M} when evaluating the determinant); finally, the dependence from i in the second factor is all due to x_i (so that the i -th and i' -th row of M are the same vector of functions, with different x argument, i.e. $\det \widetilde{M}$ is at sight divisible by $\Delta(x_1, \dots, x_{\ell+1})$).

The factors extracted from the rows give

$$(4.4) \quad \prod_{i=1}^{\ell+1} \left(z_1^{\ell'} U_{\ell'}(1, q^i) \prod_{2 \leq m \leq 2n-2} \frac{U_{\ell+1}(z_m, z_1)}{z_m - q^i z_1} \right),$$

that is, with some simplifications (including $\prod_{i=1}^{\ell+1} U_{\ell'}(1, q^i) = 1$ if $\gcd(\ell+2, \ell'+1) = 1$ and 0 otherwise),

$$(4.5) \quad z_1^{\ell'(\ell+1)} \prod_{m=2}^{2n-2} U_{\ell+1}^\ell(z_1, z_m).$$

The divisibility of $\det \widetilde{M}$ by $\Delta(x_1, \dots, x_{\ell+1})$ implies that $\det \widetilde{M} / \Delta(x_1, \dots, x_N)$ has no factors $x_i - x_{i'}$ at the denominator with $1 \leq i < i' \leq \ell + 1$, and thus no pure powers of z_1 at the denominator from the Vandermonde, after the replacement $x_i = q^i z_1$ (indeed, all the potential factors at the denominator have the form $q^i z_1 - x_j$, with $j > \ell + 1$, and $y_j - q^i z_1$, with $j \leq \ell + 1$), thus they do not affect the claimed factor in (4.5). This completes the proof. \square

Now we complete the exhaustion of factors, by proving the following weaker form of Theorem 2

Proposition 8. *For $n \geq 2$ and $\ell \geq 1$ we have*

$$(4.6) \quad Q_{n,\ell,\ell'}(\vec{z}) = c(n, \ell, \ell') \left(\prod_{i=1}^{2n-2} z_i^{\ell'(\ell+1)} \right) s_{\mu_{2n-2,\ell+1}}^\ell(\vec{z}) s_{\lambda_{n-1,\ell,\ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}),$$

for some numerical constant $c(n, \ell, \ell')$.

PROOF. As a consequence of Proposition 6, our claim is trivially true if $\gcd(\ell' + 1, \ell + 2) > 1$, as the constant in such a case is 0. Therefore it remains to analyse the case $\gcd(\ell' + 1, \ell + 2) = 1$.

We can again exploit the invariance in x and y of $Q_{n,\ell,\ell'}(\vec{z})$ from equation (4.1), in order to evaluate $\psi_{n,\ell,\ell'}(\vec{z}, \vec{x}, \vec{y})$ at a specially simpler set of values x and y . Our choice is to leave the y_j 's generic, and specialize $x_i = q^{k_i} z_{m_i}$, for all the indices $i = 1, \dots, \ell(n-1) + \ell' + 1$, and $\{(k_i, m_i)\}$ being a whatever ordered subset of distinct pairs, of cardinality $\ell(n-1) + \ell' + 1$, in the set of all valid pairs $\{1, \dots, \ell+1\} \times \{1, \dots, 2n-2\}$ (the difference of cardinality, $(\ell+2)(n-1) - \ell' - 1$, is always positive in our range of interest $\ell \geq 1, 0 \leq \ell' \leq \ell, n \geq 2$). Using Theorem 4 we have

$$(4.7) \quad \begin{aligned} M_{ij} &= s_{\lambda_{n,\ell,\ell'}}(\vec{z}, x_i = q^{k_i} z_{m_i}, y_j) = \\ &= z_{m_i}^{\ell'} U_{\ell'}(1, q^{k_i}) \frac{U_{\ell+1}(y_j, z_{m_i})}{y_j - q^{k_i} z_{m_i}} \prod_{\substack{1 \leq r \leq 2n-2 \\ r \neq m_i}} \frac{U_{\ell+1}(z_r, z_{m_i})}{z_r - q^{k_i} z_{m_i}} s_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus m_i}, y_j). \end{aligned}$$

Let us adopt the representation (A.1) for the Schur polynomial (as the ratio of shifted Vandermonde over Vandermonde), to get

$$(4.8) \quad \begin{aligned} M_{ij} &= \frac{z_{m_i}^{\ell'} U_{\ell'}(1, q^{k_i})}{\Delta(\vec{z}_{\setminus m_i}, y_j)} \frac{U_{\ell+1}(y_j, z_{m_i})}{y_j - q^{k_i} z_{m_i}} \prod_{\substack{1 \leq r \leq 2n-2 \\ r \neq m_i}} \frac{U_{\ell+1}(z_r, z_{m_i})}{z_r - q^{k_i} z_{m_i}} \Delta_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus m_i}, y_j) \\ &= \frac{z_{m_i}^{\ell'} U_{\ell'}(1, q^{k_i})}{\Delta(\vec{z})} (-1)^{m_i+1} \left(\prod_{r \neq m_i} \frac{(z_r - z_{m_i}) U_{\ell}(z_r, z_{m_i})}{z_r - q^{k_i} z_{m_i}} \right) \left(\prod_r \frac{1}{y_j - z_r} \right) \\ &\quad \times \frac{(y_j - z_{m_i}) U_{\ell}^{(0,k_i)}(y_j, z_{m_i})}{y_j - q^{k_i} z_{m_i}} \Delta_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus m_i}, y_j) \\ &= \frac{z_{m_i}^{\ell'} U_{\ell'}(1, q^{k_i})}{\Delta(\vec{z})} \left((-1)^{m_i+1} \prod_{r \neq m_i} U_{\ell+1}(z_r, q^{k_i} z_{m_i}) \right) \left(\prod_r \frac{1}{y_j - z_r} \right) \\ &\quad \times U_{\ell+1}(y_j, q^{k_i} z_{m_i}) \Delta_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus m_i}, y_j), \end{aligned}$$

where in the last equality we made use of the relation

$$(4.9) \quad \frac{U_{\ell+1}(x, q^h y)}{x - q^k y} = \prod_{\substack{0 \leq i \leq \ell+1 \\ i \neq h, k}} (x - q^i y) = \frac{U_{\ell+1}(x, q^k y)}{x - q^h y}.$$

In the last expression of equation (4.8), we recognize five factors: a factor independent on i and j , one depending on i alone, one depending on j alone, and one depending on both i and j , which is composed of $U_{\ell+1}(y_j, q^{k_i} z_{m_i})$, that is a homogeneous polynomial in y_j and z_{m_i} of degree $\ell + 1$, and a shifted Vandermonde. The first three factors are easily extracted when evaluating $\det M$, so we can write

$$(4.10) \quad \det M = \frac{A(\vec{z}, \vec{y})}{B(\vec{z}, \vec{y}) \Delta(\vec{z})^N} \det \widehat{M}$$

with

$$(4.11) \quad \widehat{M}_{ij} = -U_{\ell+1}(y_j, q^{k_i} z_{m_i}) \Delta_{\lambda_{n-1, \ell, \ell'}}(\vec{z}_{\setminus m_i}, y_j);$$

$$(4.12) \quad A(\vec{z}, \vec{y}) = \prod_i (-1)^{m_i+1} z_{m_i}^{\ell'} U_{\ell'}(1, q^{k_i}) \prod_{\substack{1 \leq i \leq N \\ 1 \leq r \leq 2n-2 \\ r \neq m_i}} U_{\ell+1}(z_r, q^{k_i} z_{m_i});$$

$$(4.13) \quad B(\vec{z}, \vec{y}) = \prod_{\substack{1 \leq j \leq N \\ 1 \leq r \leq 2n-2}} (y_j - z_r).$$

We now substitute the expression of equation (4.10) in (4.1), where we also replace

$$(4.14) \quad \Delta(\vec{x}) \longrightarrow \Delta(q^{k_1} z_{m_1}, q^{k_2} z_{m_2}, \dots),$$

which leads to

$$(4.15) \quad Q_{n, \ell, \ell'}(\vec{z}) = \frac{A(\vec{z}, \vec{y})}{B(\vec{z}, \vec{y}) \Delta(\vec{y}) \Delta(q^{k_1} z_{m_1}, q^{k_2} z_{m_2}, \dots) \Delta(\vec{z})^N} \det \widehat{M};$$

Now, the matrix \widehat{M} is in a form suitable for application of Proposition 2, the divisibility result discussed in Section 3.3, with $k = \ell + 2$ and, for $0 \leq a \leq \ell + 1$, $u_i^a v_j^a$ being the coefficient of the monomial $y_j^a z_{m_i}^{\ell+1-a}$ in the expansion of $U_{\ell+1}(y_j, q^{k_i} z_{m_i})$.

As a consequence we get that $\Delta_{\lambda_{n-1, \ell, \ell'}}^{N-(\ell+2)}(\vec{z})$ divides $\det \widehat{M}$, and the exponent $N - (\ell + 2) = \ell(n - 1) + \ell' + 1 - (\ell + 2) = \ell(n - 2) + \ell' - 1$ is exactly the desired one from the statement of Proposition 8 (and Theorem 2). So we can write

$$(4.16) \quad \det \widehat{M} = \Delta_{\lambda_{n-1, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}) R(z, y)$$

for R a polynomial. We thus recognize in (4.15)

$$(4.17) \quad Q_{n, \ell, \ell'}(\vec{z}) = s_{\lambda_{n-1, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}) \frac{A(z, y) R(z, y)}{B(z, y) \Delta(\vec{y}) \Delta(q^{k_1} z_{m_1}, q^{k_2} z_{m_2}, \dots) \Delta(\vec{z})^{\ell+2}}.$$

Now, as $\gcd(\ell' + 1, \ell + 2) = 1$, we obtain two consequences from Proposition 5. First, observing that the denominator in (4.17) is completely factorized into linear terms (of the form $y_i - z_j$, or $z_i - q^k z_j$), $s_{\lambda_{n-1, \ell, \ell'}}(\vec{z})$ cannot be divided by any of these factors, therefore it follows from equation (4.17) that $s_{\lambda_{n-1, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z})$ must divide $Q_{n, \ell, \ell'}(\vec{z})$.

Furthermore, we know from Proposition 7 that $s_{\mu_{2n-2, \ell+1}}^{\ell}(\vec{z}) \prod_{i=1}^{2n-2} z_i^{\ell'(\ell+1)}$ divides $Q_{n, \ell, \ell'}(\vec{z})$. Also this polynomial is factorized into linear terms, of the form z_i

or $z_i - q^k z_j$, thus it is relatively prime with $s_{\lambda_{n-1,\ell,\ell'}}^{\ell(n-2)+\ell'-1}$. This shows that Proposition 8 holds, for $c(n, \ell, \ell')$ a polynomial. However, all the involved functions are homogeneous polynomials, and it is easily determined that $c(n, \ell, \ell')$ has degree 0, thus it is a constant. \square

4.2. Determine the constant $c(n, \ell, \ell')$. We can evaluate directly the constant for $n = 1$, which is $c(1, \ell, \ell') = (-1)^{\binom{\ell'+1}{2}}$, and we know that, for $n \geq 2$ and $\gcd(\ell + 2, \ell' + 1) > 1$, $c(n, \ell, \ell') = 0$. In the rest of this section we will complete the proof of the expression (1.11), for the remaining case $n \geq 2$ and $\gcd(\ell + 2, \ell' + 1) = 1$. This is done by induction in n , i.e. we will prove that, for (n, ℓ, ℓ') as above,

$$(4.18) \quad \frac{c(n, \ell, \ell')}{c(n-1, \ell, \ell')} = (-1)^{\binom{\ell'+1}{2}}.$$

Now that we only have to determine the constant, we have the freedom of choosing simpler values also for the z_k 's, besides that for the x_i 's and the y_j 's.

First of all, in equation (4.1) let us specialize $x_i = q^i z_1$ for $1 \leq i \leq \ell$. In this way we find that the matrix elements M_{ij} for $1 \leq i \leq \ell$ take the form²

$$(4.19) \quad M_{ij} = z_1^{\ell'} U_{\ell'}(1, q^i) \frac{U_{\ell+1}(y_j, z_1)}{y_j - q^i z_1} \prod_{r=2}^{2n-2} \frac{U_{\ell+1}(z_r, z_1)}{z_r - q^i z_1} s_{\lambda_{n-1,\ell,\ell'}}(\vec{z}_{\setminus 1}, y_j)$$

As we have done in the proof of Proposition 7, when we compute the determinant of the matrix M , for $1 \leq i \leq \ell$ we extract the factor

$$(4.20) \quad z_1^{\ell'} U_{\ell'}(1, q^i) \prod_{r=2}^{2n-2} \frac{U_{\ell+1}(z_r, z_1)}{z_r - q^i z_1}$$

from the i -th row, and find

$$(4.21) \quad \det M = F(z_1; \vec{z}_{\setminus 1}) \det M'$$

where

$$(4.22) \quad F(z_1; \vec{z}_{\setminus 1}) = \frac{z_1^{\ell' \ell}}{U_{\ell'}(1, q^{\ell+1})} \prod_{r=2}^{2n-2} U_{\ell+1}^{\ell-1}(z_r, z_1) (z_r - q^{\ell+1} z_1)$$

and the matrix M' coincides with M along the last $N - \ell$ rows, while each of the first ℓ rows is divided by the factor in equation (4.20).

We now substitute the expression (4.21) for $\det M$ into the definition of $Q_{n,\ell,\ell'}(\vec{z})$ and then into equation (4.6), taking into account also the variable substitutions in the Vandermonde at denominator

$$(4.23) \quad \Delta(\vec{x}) \longrightarrow z_1^{\binom{\ell}{2}} \Delta'(z_1, \vec{x}_{\setminus 1, \dots, \ell})$$

$$(4.24) \quad \Delta'(z_1, \vec{x}_{\setminus 1, \dots, \ell}) := \Delta(q, q^2, \dots, q^\ell) \Delta(\vec{x}_{\setminus 1, \dots, \ell}) \prod_{\substack{1 \leq i \leq \ell \\ \ell+1 \leq k \leq N}} (q^i z_1 - x_k).$$

It could be explicitly evaluated, although not needed for our purposes, that

$$(4.25) \quad \Delta(q, q^2, \dots, q^\ell)^2 = (-1)^{\binom{\ell+1}{2}} (q^{-1} - q^{-2})^2 (\ell + 2)^{\ell-2}.$$

²That is, nothing but \widetilde{M}_{ij} in (4.2), under the full replacement $x_i \rightarrow q^i z_1$.

We obtain

$$\begin{aligned}
 (4.26) \quad Q_{n,\ell,\ell'}(\vec{z}) &= \frac{F(z_1; \vec{z}_{\setminus 1}) \det M'}{z_1^{\binom{\ell}{2}} \Delta'(z_1, \vec{x}_{\setminus 1, \dots, \ell}) \Delta(\vec{y})} \\
 &= c(n, \ell, \ell') \prod_{i=1}^{2n-2} z_i^{\ell'(\ell+1)} s_{\mu_{2n-2, \ell+1}}^\ell(\vec{z}) s_{\lambda_{n-1, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}),
 \end{aligned}$$

We eliminate the factors appearing on both sides of the previous equation and we obtain

$$\begin{aligned}
 (4.27) \quad \frac{\det M'}{U_{\ell'}(1, q^{\ell+1}) \Delta'(z_1, \vec{x}_{\setminus 1, \dots, \ell}) \Delta(\vec{y})} &= c(n, \ell, \ell') z_1^{\binom{\ell}{2} + \ell'} \\
 &\times \prod_{i=2}^{2n-2} z_i^{\ell'(\ell+1)} \prod_{r=2}^{2n-2} \frac{U_{\ell+1}(z_r, q^{\ell+1} z_1)}{z_r - z_1} s_{\mu_{2n-3, \ell+1}}^\ell(\vec{z}_{\setminus 1}) s_{\lambda_{n-1, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}).
 \end{aligned}$$

Note that, among other things, we have eliminated some factors $z_r - q^{\ell+1} z_1$ on both sides, a simplification that allows us to set $z_2 = q^{\ell+1} z_1$. Furthermore, we choose to specialize $y_i = q^i z_1$, for $1 \leq i \leq \ell$ (the Vandermonde factor $\Delta(\vec{y})$ in equation (4.27) is then to be treated similarly to what is done in (4.23) for $\Delta(\vec{x})$).

It is easy to see which simplifications occur on the factorized right-hand side of equation (4.27)

$$(4.28) \quad \prod_{i=2}^{2n-2} z_i^{\ell'(\ell+1)} \rightarrow q^{\ell'} z_1^{\ell'(\ell+1)} \prod_{i=3}^{2n-2} z_i^{\ell'(\ell+1)}$$

$$(4.29) \quad \prod_{r=2}^{2n-2} \frac{U_{\ell+1}(z_r, q^{\ell+1} z_1)}{z_r - z_1} \rightarrow \frac{z_1^{\ell}(\ell+2)}{q^{-2} - q^{-1}} \prod_{r=3}^{2n-2} \frac{U_{\ell+1}(z_r, q^{\ell+1} z_1)}{z_r - z_1}$$

$$(4.30) \quad s_{\mu_{2n-3, \ell+1}}^\ell(\vec{z}_{\setminus 1}) \rightarrow \prod_{r=3}^{2n-2} U_{\ell+1}^\ell(z_r, q^{\ell+1} z_1) s_{\mu_{2n-4, \ell+1}}^\ell(\vec{z}_{\setminus 1, 2})$$

$$(4.31) \quad s_{\lambda_{n-1, \ell, \ell'}}^\ell(\vec{z}) \rightarrow z_1^{\ell'} U_{\ell'}(1, q^{\ell+1}) \prod_{r=3}^{2n-2} \frac{U_{\ell+1}(z_r, z_1)}{z_r - q^{\ell+1} z_1} s_{\lambda_{n-2, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}_{\setminus 1, 2}).$$

Even more drastic simplifications arise on the left-hand side of equation (4.27). For $i > \ell$ and $j \leq \ell$, the entries M'_{ij} consist of the Schur polynomials $s_{\lambda_{n, \ell, \ell'}}$ evaluated at a set of variables including a triple satisfying the wheel condition (namely, $z_1, y_j = q^j z_1$ and $z_2 = q^{\ell+1} z_1$), therefore they vanish because of Proposition 3. Similarly, for $i \leq \ell$ and $j \leq \ell$, with the only exception of $i = j$, M'_{ij} vanishes because of the factor $\frac{U_{\ell+1}(y_j, z_1)}{y_j - q^i z_1} = \prod_{1 \leq k \leq \ell+1; k \neq i} (y_j - q^k z_1)$ (cf. equation (4.19)). As a result,

$$(4.32) \quad \det M' = \left(\prod_{i=1}^{\ell} M'_{ii} \right) \det M'_{\{\ell+1, \dots, N\}, \{\ell+1, \dots, N\}}$$

The diagonal factors M'_{ii} read

$$(4.33) \quad M'_{ii} = \frac{z_1^{\ell+\ell'}(\ell+2)q^{\ell i} U_{\ell'}(q^{\ell+1}, q^i)}{1 - q^{-i}} \prod_{r=3}^{2n-2} \frac{U_{\ell+1}(z_r, q^{\ell+1} z_1)}{z_r - q^i z_1} s_{\lambda_{n-2, \ell, \ell'}}^{\ell(n-2)+\ell'-1}(\vec{z}_{\setminus 1, 2}).$$

Most importantly, the minor of the matrix M' restricted to the last $N - \ell$ rows and columns is easily related to the matrix M for the system of size $n - 1$, where

the indices of the variables z_k run from 3 to $2n - 2$, while the indices of the x_i 's and y_j 's run from $\ell + 1$ to $N = (n - 1)\ell + \ell' + 1$. More precisely, $M'_{\ell+i, \ell+j}$, at size n and under the specializations above, is proportional to M_{ij} at size $n - 1$, the proportionality factor for the pair (i, j) being

$$(4.34) \quad z_1^{\ell'} U_{\ell'}(1, q^{\ell+1}) \left(\prod_{r=3}^{2n-2} \frac{U_{\ell+1}(z_r, z_1)}{z_r - q^{\ell+1} z_1} \right) \frac{U_{\ell+1}(x_{\ell+i}, z_1)}{x_{\ell+i} - q^{\ell+1} z_1} \frac{U_{\ell+1}(y_{\ell+j}, z_1)}{y_{\ell+j} - q^{\ell+1} z_1}$$

(the relevant fact is that this quantity factorizes into a term depending on x_i only, and a term depending on y_j only, these terms thus factorize in the evaluation of the determinant). Thus we get

$$(4.35) \quad \det M'_{\{\ell+1, \dots, N\}, \{\ell+1, \dots, N\}} = \left[z_1^{\ell'} U_{\ell'}(1, q^{\ell+1}) \left(\prod_{r=3}^{2n-2} \frac{U_{\ell+1}(z_r, z_1)}{z_r - q^{\ell+1} z_1} \right) \right]^{N-\ell} \\ \times \prod_{i=1}^{N-\ell} \frac{U_{\ell+1}(x_{\ell+i}, z_1)}{x_{\ell+i} - q^{\ell+1} z_1} \prod_{j=1}^{N-\ell} \frac{U_{\ell+1}(y_{\ell+j}, z_1)}{y_{\ell+j} - q^{\ell+1} z_1} \\ \times \Delta(x_{\ell+1}, \dots, x_N) \Delta(y_{\ell+1}, \dots, y_N) Q_{n-1, \ell, \ell'}(z_3, \dots, z_{2n}).$$

In this equation we can substitute $Q_{n-1, \ell, \ell'}(\vec{z}_{\setminus 1,2})$ with its expression given by equation (4.6) – the factor $c(n - 1, \ell, \ell')$ emerges at this point – then, we can replace (4.35) in (4.27), using (4.32). In this way we reach a fully factorized form on both sides of equation (4.27) and erasing the common factors is reduced to simple algebra³. At the end, we obtain the recursive relation

$$(4.36) \quad c(n, \ell, \ell') = (-1)^{\binom{\ell+1}{2}} c(n - 1, \ell, \ell'),$$

as was to be proven. \square

Appendix A. Basic facts on symmetric polynomials

A *partition* λ of length k is a non-increasing sequence of k non-negative numbers, $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k \geq 0)$. The number of terms (or *parts*) $\ell(\lambda) = k$, and the value of the sum $|\lambda| = \sum_{i=1}^k \lambda_i$, are called respectively the *length* and the *weight* of the partition. Seen as a table of cells (as e.g. in figure 2), λ is often called a *Young diagram*.

Given an ordered ℓ -uple of indeterminates $\vec{z} = \{z_i\}_{1 \leq i \leq \ell}$, the *Vandermonde determinant* $\Delta(\vec{z})$ is defined as the determinant of the $\ell \times \ell$ matrix V with $V_{ij} = z_i^{\ell-j}$. It is well known that $\Delta(\vec{z}) = \prod_{1 \leq i < j \leq \ell} (z_i - z_j)$. For a partition λ of length ℓ one similarly defines the *shifted Vandermonde determinant* $\Delta_\lambda(\vec{z})$ as the determinant of the $\ell \times \ell$ matrix V with $V_{ij} = z_i^{\lambda_j + \ell - j}$. Thus $\Delta(\vec{z}) \equiv \Delta_{(0,0,\dots,0)}(\vec{z})$. Then, the *Schur polynomial* associated to λ is the function in ℓ indeterminates

$$(A.1) \quad s_\lambda(\vec{z}) = \frac{\Delta_\lambda(\vec{z})}{\Delta(\vec{z})}.$$

³Useful relations at this point are

$$\prod_{i=1}^{\ell} \frac{q^{\ell i}}{1 - q^{-i}} = q^{-2} - q^{-1}; \quad \prod_{i=1}^{\ell} U_{\ell'}(q^{\ell+1}, q^i) = \frac{q^{\ell'}}{U_{\ell'}(1, q^{\ell+1})}.$$

It is indeed a polynomial, it is symmetric in all its variables, and homogeneous of degree $|\lambda|$. The Schur functions are at the heart of algebraic combinatorics [15] and enjoy several remarkable properties (see [10]). Here we limit ourselves to present the few simple results we need in the paper, among which a “splitting formula”:

Proposition 9. *Let λ and μ two partitions of lengths respectively k and h , such that $\lambda_k \geq \mu_1$. Call ν the partition $\nu = (\lambda_1, \dots, \lambda_k, \mu_1, \dots, \mu_h)$. Then we have*

$$(A.2) \quad \lim_{\epsilon \rightarrow 0} \frac{s_\nu(z_1, \dots, z_k, \epsilon y_1, \dots, \epsilon y_h)}{\epsilon^{|\mu|}} = s_\lambda(z_1, \dots, z_k) s_\mu(y_1, \dots, y_h).$$

This generalizes the simple property, that $s_\lambda(\vec{z})$ has maximum degree λ_1 , and minimum degree $\lambda_{\ell(\lambda)}$, in any of its variables. For the connoisseurs, the proposition can be easily proven in several ways, for example by using the decomposition formula for Schur function $s_\alpha(\vec{x}, \vec{y}) = \sum_{\beta \subseteq \alpha} s_\beta(\vec{x}) s_{\alpha/\beta}(\vec{y})$ (see e.g. [10, eq. (5.9)]), and simple properties of skew Schur functions (that we do not introduce). Here we provide a more verbose but completely self-contained proof.

PROOF. Using the defining equation (A.1), we are led to study the behaviour of $\Delta_\gamma(\vec{z}, \epsilon \vec{y})$ as $\epsilon \rightarrow 0$, for the cases $\gamma = \nu$ (at numerator) and $\gamma = 0$ (at denominator). More generally, consider $\gamma = (\gamma_1, \dots, \gamma_{k+h}) \equiv (\alpha_1, \dots, \alpha_k, \beta_1, \dots, \beta_h)$. Recall that $\Delta_\gamma(\vec{z}, \epsilon \vec{y})$ is defined as the determinant of the matrix $V_{ij} = z_i^{\gamma_j + k + h - j}$ for $i \leq k$ and $V_{ij} = (\epsilon y_{i-k})^{\gamma_j + k + h - j}$ for $i > k$. Consider the Laplace expansion of V along the first k rows:

$$(A.3) \quad \det V = \sum_{\substack{I \subseteq [k+h] \\ |I|=k}} \epsilon(I, [k]) \det V_{[k], I} \det V_{[k]^c, I^c}.$$

As the summand with index I has an exposed factor $\epsilon^{\sum_{j \in I^c} (\gamma_j + k + h - j)}$, the term with $I = [k]$ has a factor $\epsilon^{|\beta| + \binom{h}{2}}$, and all other terms have a higher power. Thus

$$(A.4) \quad \begin{aligned} \frac{\Delta_\gamma(\vec{z}, \epsilon \vec{y})}{\epsilon^{|\beta| + \binom{h}{2}}} &= \det(z_i^{\alpha_j + k + h - j})_{1 \leq i, j \leq k} \det(y_i^{\beta_j + k + h - (k+j)})_{1 \leq i, j \leq h} + \mathcal{O}(\epsilon) \\ &= \left(\prod_{i=1}^k z_i^h \right) \Delta_\alpha(\vec{z}) \Delta_\beta(\vec{y}) + \mathcal{O}(\epsilon). \end{aligned}$$

Comparing this equation for $\gamma = \nu$ and $\gamma = 0$ allows us to conclude. \square

The bivariate homogeneous Chebyshev polynomials of the second kind are defined as

$$(A.5) \quad U_k(x, y) = \frac{x^{k+1} - y^{k+1}}{x - y} = x^k + x^{k-1}y + \dots + y^k.$$

Define the *staircase partition* $\mu_{n, \ell}$ as the length- n partition

$$(A.6) \quad \mu_{n, \ell} = (\ell n - \ell, \ell n - 2\ell, \dots, \ell, 0).$$

The associated Schur function is easily evaluated through (A.1)

$$(A.7) \quad \begin{aligned} s_{\mu_{n, \ell}}(\vec{z}) &= \frac{\Delta_{\mu_{n, \ell}}(\vec{z})}{\Delta(\vec{z})} = \frac{\Delta(z_1^{\ell+1}, \dots, z_n^{\ell+1})}{\Delta(z_1, \dots, z_n)} \\ &= \prod_{1 \leq i < j \leq n} \frac{z_i^{\ell+1} - z_j^{\ell+1}}{z_i - z_j} = \prod_{1 \leq i < j \leq n} U_\ell(z_i, z_j). \end{aligned}$$

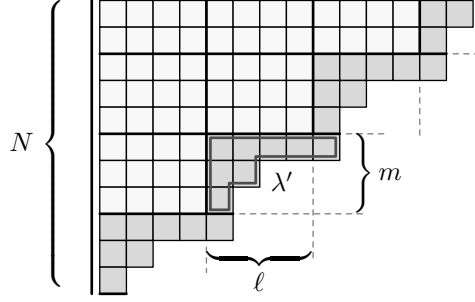


FIGURE 3. An example of partition $\lambda(N, m, \ell, \lambda')$ with $N = 11$, $m = 3$, $\ell = 4$ and $\lambda' = (5, 2, 1)$.

Appendix B. Properties of staircase Schur functions

Let us consider three non-negative integers N , m and ℓ , with $m \geq 1$, and a partition λ' of length m , with $\lambda'_1 - \lambda'_m \geq \ell$. We define the partition $\lambda(N, m, \ell, \lambda')$ as follows: for $0 \leq i < N$, consider the unique way of writing $N - i = am + b$, with $a \geq 0$ and $0 \leq b < m$ (it is just $a = \lfloor (N - i)/m \rfloor$ and $b \equiv N - i \pmod{m}$). Then

$$(B.1) \quad \lambda_{N-i} = am + \lambda'_b.$$

(see fig. 3). We call *m-staircase diagrams* such Young diagrams, and *m-staircase Schur functions* the Schur functions in N variables $s_{N,m,\ell,\lambda'}(\vec{z}) \equiv s_{\lambda(N,m,\ell,\lambda')}(\vec{z})$. These functions generalize the (1-)-staircase and 2-staircase functions defined in (1.9) and (1.8), corresponding to take $m = 1$ and 2 respectively, $\lambda'_m = 0$ and N a multiple of m ($\lambda'_1 \equiv \ell'$ for 2-staircase functions). In this section we set $q = \exp(\frac{2\pi i}{\ell+m})$.

We say that a symmetric function in N variables $f(z_1, \dots, z_N)$ satisfies the (m, ℓ) -wheel condition if, for $I = \{i_1, \dots, i_{m+1}\} \subseteq [N]$ and $K = \{k_1, \dots, k_{m+1}\} \subseteq [\ell + m]$,

$$(B.2) \quad f(z_1, \dots, z_N)|_{z_{i_a} = q^{k_a} w} = 0.$$

Note that, as we deal with symmetric polynomials, it is not necessary to take ordered m -uples instead of subsets. We call a specialization $z_{i_a} = q^{k_a} w$ of the form above a “wheel hyperplane”. Proposition 3 is the 2-staircase function specialization of the following more general proposition. The proof we produce below is a minor variation of the one presented in [18] (within the proof of its Theorem 4) for that case.

Proposition 10. *The symmetric function in N variables $s_{N,m,\ell,\lambda'}(\vec{z})$ satisfies the (m, ℓ) -wheel condition.*

PROOF. Consider the generic wheel hyperplane $z_{i_a} = q^{k_a} w$ for $i_a \in I$ and $k_a \in K$ as above. Call $\lambda = \lambda(N, m, \ell, \lambda')$ for brevity. Represent $s_{N,m,\ell,\lambda'}(\vec{z})$ as a ratio of shifted Vandermonde over Vandermonde, Δ_λ/Δ , as in equation (A.1). As, even under the specialization, the variables z_i are all distinct, the Vandermonde at the denominator is non-singular, and it suffices to prove that the shifted Vandermonde vanishes. The shifted entries of the partition are $\tilde{\lambda}_i = \lambda_i + (N - i)$, and writing

$i = N - am - b$, we have $\tilde{\lambda}_{N-am-b} = (\ell + m)a + b + \lambda'_{m-b}$. Note in particular that

$$(B.3) \quad \tilde{\lambda}_{N-am-b} \equiv b + \lambda'_{m-b} \pmod{\ell + m}$$

regardless of a . Consider the matrix $V_{ij} = z_i^{\tilde{\lambda}_j}$, such that $\Delta_\lambda = \det V$. Call V' the rectangular minor of V , restricted to the $m+1$ rows in I , and write $j = N - am - b$ as above. Then, because of equation (B.3),

$$(B.4) \quad V'_{ij} = z_i^{\tilde{\lambda}_j} = w^{\tilde{\lambda}_j} q^{k_i(N-(\ell+m)a_j-b_j-\lambda'_{b_j})} = w^{\tilde{\lambda}_j} q^{Nk_i} q^{-k_i(b_j+\lambda'_{m-b_j})}.$$

As $b + \lambda'_b$ for $b \in \{0, \dots, m-1\}$ takes m distinct values, V' has rank at most m , while it has $m+1$ rows. This proves that $\det V = 0$. \square

Now we present a generalization of Proposition 4.

Proposition 11. *For $I = \{i_1, \dots, i_m\} \subseteq [N]$ and $K = \{k_1, \dots, k_m\} \subseteq [\ell + m]$, $s_{N,m,\ell,\lambda'}(\vec{z})$ satisfies the recursion*

$$(B.5) \quad s_{N,m,\ell,\lambda'}(\vec{z})(\vec{z}_{\setminus I}, q^{k_1}w, \dots, q^{k_m}w) = s_{\lambda'}(q^{k_1}, \dots, q^{k_m})w^{|\lambda'|} \left(\prod_{j \in [N] \setminus I} \prod_{h \in [\ell+m] \setminus K} (z_j - q^h w) \right) s_{N-m,m,\ell,\lambda'}(\vec{z}_{\setminus I}).$$

PROOF. From Proposition 10 it follows that, for I and K as above, $s_{N,m,\ell,\lambda'}(\vec{z})$ satisfies the following equation

$$(B.6) \quad s_{N,m,\ell,\lambda'}(\vec{z}_{\setminus I}, q^{k_1}w, \dots, q^{k_m}w) = \left(\prod_{j \in [N] \setminus I} \prod_{h \in [\ell+m] \setminus K} (z_j - q^h w) \right) F_{N,m,\ell,\lambda'}^{(K)}(\vec{z}_{\setminus I}, w),$$

for some polynomial $F_{N,m,\ell,\lambda'}^{(K)}(\vec{z}_{\setminus I}, w)$. Rewrite the equation above in the form $\Delta_{\lambda(N,m,\ell,\lambda')}(\vec{z}, q^{k_i}w) = \Delta(\vec{z}, q^{k_i}w) \prod_{j,h} (z_j - q^h w) F_{N,m,\ell,\lambda'}^{(K)}$. An easy computation on minimal and maximal degree in w for all the factors in this expression (other than $F^{(K)}$) shows that $F_{N,m,\ell,\lambda'}^{(K)}(\vec{z}_{\setminus I}, w)$ is homogeneous of degree $|\lambda'|$ in w . Thus,

$$(B.7) \quad F_{N,m,\ell,\lambda'}^{(K)}(\vec{z}_{\setminus I}, w) \equiv w^{|\lambda'|} \lim_{v \rightarrow 0} \frac{F_{N,m,\ell,\lambda'}^{(K)}(\vec{z}_{\setminus I}, v)}{v^{|\lambda'|}}$$

and, in order to determine this quantity, it suffices to divide both sides of equation (B.6) by $w^{|\lambda'|}$ and take the limit $w \rightarrow 0$. Using Lemma A.2 we find for the left-hand side of equation (B.6)

$$(B.8) \quad \lim_{w \rightarrow 0} \frac{s_{N,m,\ell,\lambda'}(\vec{z}_{\setminus I}, q^{k_1}w, \dots, q^{k_m}w)}{w^{|\lambda'|}} = s_{\lambda'}(q^{k_1}, \dots, q^{k_m}) s_{N-m,m,\ell,\lambda'}(\vec{z}_{\setminus I}) \prod_{j \notin I} z_j^\ell.$$

The factor $\prod_{j \notin I} z_j^\ell$ simplifies with the the same term appearing on the right-hand side, from the limit of the product of binomials $z_j - q^h w$. Therefore we end up with

$$(B.9) \quad F_{N,m,\ell,\lambda'}^{(K)}(\vec{z}_{\setminus I}, w) = w^{|\lambda'|} s_{\lambda'}(q^{k_1}, \dots, q^{k_m}) s_{N-m,m,\ell,\lambda'}(\vec{z}_{\setminus I}).$$

\square

For a symmetric polynomial $P(\vec{z})$ in N variables, and $1 \leq k \leq N$, call $d_k(P)$ the maximum degree of P in (any) k variables simultaneously. In what follows, when the number of variables is clear, we will use the shortcuts $d \equiv d_1$ and $D \equiv d_N$. Recall that, for a Schur function $s_\lambda(\vec{z})$, $d_k = \lambda_1 + \dots + \lambda_k$.

Among the staircase Schur functions considered in the propositions above, the subclass $\lambda'_1 = \dots = \lambda'_m = 0$ (i.e. $\lambda' = \emptyset$) has the further property of being “of minimal degree” among all symmetric functions satisfying the wheel condition, in various senses involving this set of degrees d_k . The following proposition describes some of the possible choices. It is a generalization to the m -staircase case of the $m = 2$ situation analysed in [18, Thm. 4], but, contrarily to Proposition 10, the proof technique is substantially different, as the Lagrange Interpolation argument used in [18] is specific to $m = 2$ (with higher values, some degree counting hypothesis is not met).

Determining the unicity of a function satisfying a precise set of conditions and degree bounds is often a useful tool when one wants to “prove that two (families of) functions are the same”. Despite this could appear as a rare eventuality, this line of reasoning has already proven valuable in several enumeration problems related to integrable systems, ranging from the recognition of the Izergin determinant [6], and its identification as a Schur function [16], up to the “higher-spin” cases in [18]. We report the following result, with the hope that it may be useful in generalizations of six-vertex and loop models involving simultaneously both “higher-spin” and “higher rank”, i.e. higher values of m (besides $m = 2$) in representations of the quantum affine algebra q -deforming $\mathfrak{sl}(m)$.

Proposition 12. *Let $N = am + b$, with $a \geq 0$ and $1 \leq b \leq m$. The symmetric polynomial in N variables $s_{N,m,\ell}(\vec{z}) := s_{N,m,\ell,\emptyset}(\vec{z})$ has $(D, d, d_m) = (D^*, d^*, d_m^*)$, with*

$$(B.10) \quad (D^*, d^*, d_m^*) = \left(al \left(\frac{m(a-1)}{2} + b \right), al, (N-m)\ell \right).$$

It is the unique symmetric function satisfying the (m, ℓ) -wheel condition (up to multiplication by a scalar), and any of the following degree conditions:

- (a) $d \leq d^*$ and $D \leq D^*$;
- (b) $d_m \leq d_m^*$;
- (b') $d \leq d^*$ and m divides N ;
- (c) $f_{m,\ell}(D, d) \leq f_{m,\ell}(D^*, d^*)$, for $f_{m,\ell}(D, d) = \frac{\ell}{m}D + \frac{d(d+\ell)}{2}$.

PROOF. Clearly (b') is implied by (b) and $d_m \leq md$ for any polynomial, so it suffices to concentrate on the three cases (a), (b) and (c). Also, clearly a degree condition $d \leq d^*$ alone would fail unicity, as, if $b < m$, any $s_{N,m,\ell,\lambda'}$, such that λ' has at most $m - b$ non-zero parts and $\lambda'_1 \leq \ell$, would work.

The fact that the Schur functions above satisfy the claimed wheel condition has been already proven in Proposition 10, and the degrees are easily calculated. So we just have to prove degree minimality, and unicity.

If we have $a = 0$ (i.e. $N \leq m$), for arbitrary m and ℓ , the statement is trivial because the wheel condition is empty (there are no wheel hyperplanes), and indeed $s_{N,m,\ell}(\vec{z}) = 1$ in this case.

The case $m = 1$, and arbitrary N and ℓ , is also fairly simple. A polynomial in N variables $P(\vec{z})$ satisfies the $(1, \ell)$ -wheel condition if and only if, for all $i < j$ and $1 \leq k \leq \ell$, it is divided by $z_i - q^k z_j$. Therefore the polynomial of minimal degree

satisfying the wheel condition consists of the product of these factors, and indeed coincides with $s_{N,1,\ell}(\vec{z}) \equiv s_{\mu_{N,\ell}}(\vec{z})$.

The proof for generic values of m and N , and any of the degree conditions in the list, is done by a double induction on N and m , using the cases above as a basis. Let us assume the statement to be true up to the value $m-1$, and, for the value m , up to $N-1$ variables. Then suppose that $P(\vec{z})$ is a symmetric polynomial in N variables, satisfying the (m, ℓ) -wheel condition, and with a degree triple (D, d, d_m) satisfying any of the conditions. We want to show that, up to rescaling $P(\vec{z})$ by a constant factor, $P(\vec{z}) = s_{N,m,\ell}(\vec{z})$.

We know from Proposition 11 that, for I and K appropriate sets (i.e., $I \subseteq [N]$ and $K \subseteq [\ell+m]$, $|I| = |K| = m$), $P(\vec{z})$ satisfies the following equation

$$(B.11) \quad P(\vec{z}_{\setminus I}, q^{k_1}w, \dots, q^{k_m}w) = \left(\prod_{\substack{j \in [N] \setminus I \\ h \in [\ell+m] \setminus K}} (z_j - q^h w) \right) F_{N,m,\ell}^{(K)}(\vec{z}_{\setminus I}, w),$$

for some polynomial $F_{N,m,\ell}^{(K)}(\vec{z}_{\setminus I}, w)$, symmetric in the $N-m = (a-1)m+b$ variables $\{z_j\}_{j \notin I}$, and satisfying the (m, ℓ) -wheel condition on the remaining variables z_j .

Call $d(F)$ the maximum degree of F in one variable, seen as a polynomial in variables z_j only, $d_w(F)$ the degree as a polynomial in w and $D_w(F)$ the maximum total degree of F , in z_j 's and w . From the degree triple of P and the exposed binomial factors, it is easy to realize that

$$(B.12) \quad D_w(F) \leq D(P) - (N-m)\ell,$$

$$(B.13) \quad d(F) \leq d(P) - \ell,$$

$$(B.14) \quad d_w(F) \leq d_m(P) - (N-m)\ell \leq m d(P) - (N-m)\ell$$

(The inequalities come from the fact that cancellations may occur in P from the specialization. The equation for $d_w(F)$ is obtained by considering in P the m -uple of variables $\{z_i\}_{i \in I}$.) Furthermore, if $N \geq 2m$, $d_m(F)$ is defined, and we can also state

$$(B.15) \quad d_m(F) \leq d_m(P) - m\ell.$$

(This equation is obtained by considering in P the m -uple of variables $\{z_i\}_{i \in J}$ for some J of size m and disjoint from I).

From the bounds above on the degree of F , and the fact that $F_{N,m,\ell}^{(K)}(\vec{z}_{\setminus I}, w)$ must satisfy the (m, ℓ) -wheel condition on m -uples of the $N-m$ remaining variables z_j , we can prove in the various cases one of the following

$$(B.16a) \quad D_w(F) \leq D^*(N-m, m, \ell);$$

$$(B.16b) \quad d_w(F) \leq 0 \quad \text{and} \quad (d_m(F) \leq d_m^*(N-m, m, \ell) \quad \text{or} \quad d(F) = 0);$$

$$(B.16c) \quad f_{m,\ell}(D_w(F), d(F)) \leq f_{m,\ell}(D^*(N-m, m, \ell), d^*(N-m, m, \ell));$$

and by induction on N we conclude that

$$(B.17) \quad F_{N,m,\ell}^{(K)}(\vec{z}_{\setminus I}, w) = c_K s_{N-m,m,\ell}(\vec{z}_{\setminus I}),$$

for some constant c_K . However, c_K cannot depend on K either. This is seen by specializing equations (B.11) and (B.17) to $w = 0$, which gives

$$(B.18) \quad P(\vec{z}_{\setminus I}, 0, \dots, 0) = c_K s_{N-m,m,\ell}(\vec{z}_{\setminus I}) \prod_{j \in [N] \setminus I} z_j^\ell.$$

So, up to a multiplicative factor in P , we know that for any I and K as above, the specialization to $z_{i_a} = q^{k_a} w$ of $P(\vec{z})$ and of $s_{N,m,\ell}(\vec{z})$ are equal. This is rephrased by saying that the difference $R(\vec{z}) := s_{N,m,\ell}(\vec{z}) - P(\vec{z})$ is a symmetric polynomial satisfying the $(m-1, \ell+1)$ -wheel condition, and furthermore implies easily that $D(R)$, $d(R)$ and $d_m(R)$ are a triple of entries smaller or equal to some triple (D, d, d_m) satisfying (one of) the degree condition under consideration (because P does this by hypothesis, and the Schur function does it explicitly, and the difference can at most decrease the degrees through cancellations).

As all the degree conditions in our proposition are monotonic (in particular, $f_{m,\ell}(D+\alpha, d+\beta) \geq f_{m,\ell}(D, d)$ if $\alpha, \beta \geq 0$), the quantities in the conditions, as functions of $D(R)$, $d(R)$ and $d_m(R)$, are bounded from above by the analogous quantities as functions of D^* , d^* and d_m^* (for parameters (m, ℓ)).

Making an induction hypothesis in m , these degree bounds are to be compared with the bounds for a symmetric function in N variables, satisfying the $(m-1, \ell+1)$ -wheel condition, stated in the proposition. Therefore, in our range of interest $m \geq 2$, $a \geq 1$, write $N = am + b = \tilde{a}(m-1) + \tilde{b}$, with $1 \leq \tilde{b} \leq m-1$. Clearly $\tilde{a} \geq a$. The triple entering the bounds to the degrees of R for the (m, ℓ) case reads

$$(B.19) \quad D = \ell(m \binom{a}{2} + ab);$$

$$(B.20) \quad d = \ell a;$$

$$(B.21) \quad d_m = \ell(N - m);$$

while the triple entering the bounds for the $(m-1, \ell+1)$ case reads

$$(B.22) \quad D' = (\ell+1)((m-1) \binom{\tilde{a}}{2} + \tilde{a}\tilde{b});$$

$$(B.23) \quad d' = (\ell+1)\tilde{a};$$

$$(B.24) \quad d'_{m-1} = (\ell+1)(N - m + 1).$$

In particular, $f_{m,\ell}(D, d) = \ell^2 a N / m$ and $f_{m-1,\ell+1}(D', d') = (\ell+1)^2 \tilde{a} N / (m-1)$. As we have

$$(B.25a) \quad d < d';$$

$$(B.25b) \quad d_{m-1} \leq d_m < d'_{m-1};$$

$$(B.25c) \quad f_{m-1,\ell+1}(D, d) < f_{m-1,\ell+1}(D', d');$$

(the last inequality comes with some algebra: the difference is $f_{m-1,\ell+1}(D, d) - f_{m-1,\ell+1}(D', d') = -\frac{(\ell+1)N}{m-1}((\ell+1)\tilde{a} - \ell a) - \frac{\ell(\ell+m)}{m-1} \binom{a+1}{2}$ and is negative at sight), for any of the conditions in our list we reach the conclusion that $R(\vec{z}) = 0$. \square

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